

TB
w.p.

DISCLOSURE DIVISION

- ☒ **WAIVER REQUEST**
☐ **ANSWER**
☐ **RECONSIDERATION REQUEST**

DATE: FEBRUARY 17, 2016

DOCKET #: 2016-156

FILER INFORMATION

Robin Gremillion, Director
Disclosure Division

Name: **WALKER JR., JOE**

Office/Position: Natchez Board of Aldermen – Natchitoches Parish

Board/Commission: -----

Dates of Service: January 2012 – January 2019

Number of Disclosures and/or Amendments Filed with Agency:

REPORT INFORMATION

Tier Level: Tier 3

Name of Report: Tier 3 annual PFD covering 2014

Original Due Date: May 15, 2015

NOD-FF Received: August 14, 2015

PFD due Date based on NOD: August 25, 2015

PFD Filed: February 12, 2016

LATE FEE INFORMATION

Amount of Late Fee: \$1500

Days late from receipt of NOD: 171

Total days late from initial due date: 273

Late Fee Order Received: February 9, 2016

Payment/Waiver Request due date: February 29, 2016

Waiver Request Received: February 12, 2016

REASON FOR LATE FILING AND/OR COMMENTS:

Mr. Walker stated that he was not aware of his requirement to file a Tier 3 annual PFD. He, therefore, requests that the late fee be waived.

OTHER LATE FEE INFORMATION

Other Outstanding Disclosures: No

Other Outstanding Disclosure Late Fees: **YES**

- 2012 annual PFD: \$1500
 - Transmitted to AG for collection on July 8, 2015
 - Outstanding balance: \$1500

Prior Disclosure Late Fees: No

Other Outstanding CF Late Fees: No

Reassessed Late Fees: No

August 12, 2015

Joe Walker, Jr.
275 Catfish Bend Rd
Coushatta, LA 71019

CERTIFIED MAIL

NO. 70151520000339332350

RETURN RECEIPT REQUESTED

RE: NOTICE OF DELINQUENCY - FAILURE TO FILE
Statement covering 2014
Aldermen

Dear Mr. Walker:

Pursuant to La. R.S. 42:1124.4, if a person fails to file a Personal Financial Disclosure Statement as required by 42:1124, 1124.2, 1124.2.1, or 1124.3; omits information; or files inaccurately, a Notice of Delinquency shall be issued. A review of our records indicates that we have not received your Personal Financial Disclosure Statement.

You have 7 business days from the date of receipt of this Notice to file your Tier 3 Personal Financial Disclosure Statement covering 2014, or to submit an Answer explaining why you feel you are not required to file a Personal Financial Disclosure Statement. Failure to file a Personal Financial Disclosure Statement or an Answer within the 7 business days will subject you to an automatic late fee of \$50 per day up to a maximum of \$1,500. Proof of timely filing is determined by the U.S. Postal Service postmark; receipt from the U.S. Postal Service; or receipt from a commercial delivery service.

The form for the Tier 3 Personal Financial Disclosure Statement (Form 418a) is available on the Louisiana Board of Ethics website at www.ethics.state.la.us. If you have any questions, you may contact me at 225/219-5600 or 800/842-6630.

Sincerely,



Vivian Head
Compliance Officer

SENDER: COMPLETE THIS SECTION

- Complete items 1, 2, and 3.
- Print your name and address on the reverse so that we can return the card to you.
- Attach this card to the back of the mailpiece, or on the front if space permits.

1. Article Addressed to:

Joe Walker, Jr.
275 Catfish Bend Rd
Coushatta, LA 71019



2350



9590 9403 0291 5155 0152 03

7015 1520 0003 3933 2350

COMPLETE THIS SECTION ON DELIVERY

A. Signature

Charlie Mitchell ☒ Agent ☐ Addressee

B. Received by (Printed Name)

Charlie Mitchell

C. Date of Delivery

8/14/15

D. Is delivery address different from item 1? ☐ Yes

If YES, enter delivery address below: ☐ No

3. Service Type

- | | |
|--|---|
| <input type="checkbox"/> Adult Signature | <input type="checkbox"/> Priority Mail Express® |
| <input type="checkbox"/> Adult Signature Restricted Delivery | <input type="checkbox"/> Registered Mail™ |
| <input type="checkbox"/> Certified Mail® | <input type="checkbox"/> Registered Mail Restricted Delivery |
| <input type="checkbox"/> Certified Mail Restricted Delivery | <input type="checkbox"/> Return Receipt for Merchandise |
| <input type="checkbox"/> Collect on Delivery | <input type="checkbox"/> Signature Confirmation™ |
| <input type="checkbox"/> Delivery Restricted Delivery | <input type="checkbox"/> Signature Confirmation Restricted Delivery |
| <input type="checkbox"/> Insured Mail Restricted Delivery (over \$500) | |

PS Form 3811, April 2015 PSN 7530-02-000-9053

Domestic Return Receipt

2016 FEB 12 AM 11: 8

LOUISIANA BOARD OF ETHICS

Post Office Box 4368
Baton Rouge, Louisiana 70821

TIER 3 PERSONAL FINANCIAL DISCLOSURE STATEMENT (ANNUAL)

THIS REPORT COVERS CALENDAR YEAR: 2014

☒ ORIGINAL REPORT

☐ AMENDED REPORT

☐ FINAL REPORT WHERE TERM ENDS IN JANUARY (COVERING JANUARY 1 THROUGH JANUARY ____)

Final reports must be filed on or before May 15 of the year in which your service to that office ends.
Refer to the "GENERAL INFORMATION" sheet of this form to determine eligibility

OFFICE/POSITION HELD: Council member

NAME (print full name): Joe Walker

MAILING Address: P.O. Box 256

City, State, Zip: Natchez, LA 71456

Name of Spouse (if applicable) (print full name) _____

Spouse's Occupation _____

Principal Business Address _____

City, State Zip _____

CHECK ALL THAT APPLY

☐ I have filed my federal income tax return for the previous year.

☐ I have filed for an extension of my federal income tax return for the previous year.

☐ I have filed my state income tax return for the previous year.

☐ I have filed for an extension of my state income tax return for the previous year.

NOTE: La. R.S. 42:1124.3 does not provide you the opportunity to request an extension in filing your personal financial disclosure statement.

CERTIFICATION OF ACCURACY

I do hereby certify that the information contained in this personal financial disclosure statement is true and correct to the best of my knowledge and belief.

Joe Walker Jr.
SIGNATURE OF FILER

January 19, 2016

Joe Walker, Jr.
P. O. Box 256
Natchez, LA 71456

CERTIFIED MAIL

NO. 70153010000108091459

RETURN RECEIPT REQUESTED

RE: Tier 3 Annual Personal Financial Disclosure Statement for calendar year 2014
Natchez Board of Alderman - Natchitoches Parish
Late Fee Assessment – Report:

Dear Mr. Walker:

Enclosed is an assessment of a late fee pursuant to La. R.S. 42:1124.4. Please submit a check or money order in the amount of \$1,500 payable to the *Louisiana State Treasurer*, Post Office Box 4368, Baton Rouge, Louisiana 70821.

In addition to the payment of this late fee, you are required to file a Tier 3 Annual Personal Financial Disclosure Statement for calendar year 2014, within 20 days from receipt of this notice. If the disclosure statement is not filed, the matter will be referred to the Ethics Board for further action.

You have 20 days from the receipt of this letter to submit payment or dispute this assessment. To dispute the assessment, you may elect to:

- **Request a waiver** to the *Board of Ethics*

If you choose to request a waiver of the late fee, your request should include specific details, along with supporting documentation, as to why, in your opinion, you have *good cause* for not timely filing the report and why the late fee should be reduced, suspended, or waived. The request should be submitted to the attention of: *Louisiana Board of Ethics*, Post Office Box 4368, Baton Rouge, Louisiana 70821. You may also fax the request to 225/381-7271. Also, if you would like to appear before the Board of Ethics in connection with your request, you should state that in your request. If you request an appearance, you will be notified of the place and time prior to the meeting.

- **Appeal the assessment** directly to the *Ethics Adjudicatory Board*

If you choose to appeal the assessment of the late fee, the proceedings will go before a three judge panel of administrative law judges. The panel will determine if you were required to file the disclosure statement and whether the disclosure statement was filed late. Mitigating factors are not considered. The Ethics Adjudicatory Board does not have the authority to reduce, suspend, or waive a late fee assessment. If you choose to *appeal* the assessment, you should submit your request to the attention of: *Executive Secretary*, Ethics Administration, Post Office Box 4368, Baton Rouge, Louisiana 70821. You may also fax the request to 225/381-7271.

If you do not pay, dispute, or appeal the assessment of the late fee, the matter will be forwarded to the Attorney General's Office to pursue collection. If the matter is forwarded to the Attorney General's Office for collection, you may be responsible for all additional costs incurred. Additionally, late fees not paid by the due date will be posted on the agency website.

You should be aware that unpaid fines, fees, or penalties may have an adverse effect on your ability to run for public office, as the *Board of Ethics* will object to your candidacy in future elections pursuant to La. R.S. 18:491 and 18:492.

If you have any questions, you may contact Robin Gremillion at 225/219-5600 or 1-800-842-6630.

Sincerely,



Donna Bourgeois
Administrative Coordinator

**STATE OF LOUISIANA
BOARD OF ETHICS**

**In Re: Tier 3 Annual Personal Financial Disclosure Statement 2014
Natchez Board of Alderman - Natchitoches Parish
Late Fee Assessment – Report:**

ORDER

JOE WALKER, JR. was required to file a Tier 3 Annual Personal Financial Disclosure Statement for calendar year 2014 pursuant to La. R.S. 42:1124 et seq.

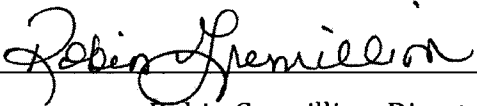
On August 14, 2015, JOE WALKER, JR. received a Notice of Delinquency for failing to file the disclosure statement. The notice required that the disclosure statement be filed by August 25, 2015 (pursuant to La. R.S. 42:1124.4). As of this date, the disclosure statement has not been filed.

La. R.S. 42:1124.4 authorizes the assessment of a \$50 late fee per day (not to exceed \$1,500) against JOE WALKER, JR. for this late filing.

Accordingly, **IT IS ORDERED** that the maximum penalty amount of \$1,500 be assessed against JOE WALKER, JR. for failing to timely file the Tier 3 Annual Personal Financial Disclosure Statement for calendar year 2014.

IT IS FURTHER ORDERED that JOE WALKER, JR. file a Tier 3 Annual Personal Financial Disclosure Statement for calendar year 2014.

ORDER signed on the 19th day of January 2016 at Baton Rouge, Louisiana.



Robin Gremillion, Director
Disclosure Division

MAYOR

Rosia Humphrey

ALDERMAN

Sheila Johnson

Joe Walker

Amos Bradley

Village of Natchez Mayor's Court

P.O. BOX 229 / 181 MAIN STREET

NATCHEZ, LA 71456

PHONE: 318-352-1414

FAX: 318-352-6266

CHIEF OF POLICE

Gerald Johnson

ASSISTANT CHIEF

Michael Gillie Jr.

SECRETARY

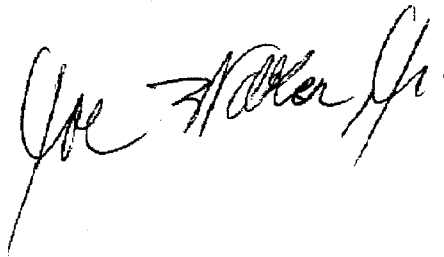
Jalisa Reed

Date: February 12, 2016

To whom is concern,

I, Joe Walker, was not aware, that I was supposed to send a Tier 3 Annual Personal Financial Disclosure Statement, to the ethic board. I am requesting a waiver for the \$1,500 if it is possible.

Thanks



2016 FEB 12 AM 11:18

